

Exhibit 300 FY2011

OSTXX001: Delphi (formerly consolidated within DOTxx072)

Part I: Summary Information And Justification (All Capital Assets)

Description: In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

I.A. Overview (All Capital Assets)

Description: The following series of questions are to be completed for all investments.

I.A.1. Date of Submission:	2009-08-07
I.A.2. Agency:	021
I.A.3. Bureau:	04
I.A.4. Name of this Investment: Description: (Up to 250 characters)	OSTXX001: Delphi (formerly consolidated within DOTxx072)
I.A.5. Unique Project (Investment) Identifier: Description: For IT investment only, see section 53.9. For all other, use agency ID system.	021-04-01-01-01-1010-00
I.A.6. What kind of investment will this be in FY2011? Description: Please NOTE: Investments moving to O&M in FY2011, with Planning/Acquisition activities prior to FY2011 should not select O&M. These investments should indicate their current status.	Mixed Life Cycle
I.A.8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits. Description: (Up to 2500 characters)	DOT currently uses Oracle Federal Financials Version 11.5.10 (a FSIO-Certified, COTS web-based system) as DOT's agency-wide financial management and accounting system (Delphi) of record. As a Federal Shared Service Provider, DOT is dedicated to innovative solutions, employing industry best practices and business process re-engineering to serve present and future customers. Delphi produces regulatory Financial Statements and Treasury reports directly from the core financial application. This improves accuracy and enables DOT to meet OMB, Treasury and other Federal reporting requirements on schedule. In an effort to meet the ever changing reporting, program management, financial, and regulatory requirements, DOT has undertaken a focused effort of discovery and education of the Department's future financial management system, Oracle's Release 12. Additionally, the current system's (Oracle 11i) functionality was analyzed to identify immediate opportunities for process re-engineering, reporting, and data management success. In FY 2010, the team will begin to apply this knowledge to planning new system design activities which will demonstrate system capabilities through proof of concept sessions. This will be a highly collaborative effort with the DOT OA subject matter experts and other stakeholders to ensure results are meeting expectations prior to the build phases of the new financial system's layout for future implementation. Beginning in 2011 the information and system designs produced in the initial phase of the project will be leveraged to begin the initial build and implementation of the 12i system. Initial OA migrations to 12i are planned for 2013 -15. The migration to Release 12 is needed to leverage the latest technologies and the improved enterprise resource planning (ERP) capabilities of Release 12 in order to enhance the very important information technology and financial services business disciplines in: Application support; Financial analytics and decision support; Financial management and regulatory reporting (ARRA). Information technology service continuity management (ITSCM); Implementation support; and Hardware Modernization. This modernization effort will also ensure that DOT maintains Federal Shared Service Provider status by moving to a fully supported release of the Oracle COTS product. Support of the current Oracle version 11.5.10 platform is planned to expire in 2013 an unsupported version would cost the SSP revenue.
I.A.8.a. Enter dates for approved rebaselining, alternative analysis, and risk management plan and risk register information. Description: Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register. (Up to 500 characters)	
I.A.9. Did the Agency's Executive/Investment Committee approve this request?	yes
I.A.9.a. If "yes," what was the date of this approval?	2006-08-16
I.A.12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):	
I.A.12.a. Financial Management System Table	
I.A.12.b. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one):	

I.B. Summary of Funding (Budget Authority for Capital Assets)

I.B.1. Summary of Funding Table

Description: Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. Funding for all costs associated with the entire life-cycle of the investment should be

included in this report. Funding levels should be shown for budget authority by year consistent with funding levels in Exhibit 53. The Summary of Funding table shall include the amounts allocated to the investment from, and should be directly tied to, the Fiscal Year Budget. This includes direct appropriations (discretionary or mandatory accounts), user fees, and approved self-funding activities and will provide the actual annual "budget" for the investment. This "budget" will be a subset of the congressionally approved budget for each fiscal year. This will provide Departments/Agencies and OMB useful information on the actual Fiscal Year dollars being asked for and spent on an investment.

NOTE: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

I.B.1.a. Summary of Spending for Project Phases (Reported in Millions)

	PY-1 and earlier	PY 2009	CY 2010	BY 2011
Planning	\$3.250	\$7.300	\$1.300	\$3.400
Acquisition	\$0.000	\$11.000	\$17.000	\$15.408
Subtotal Planning and Acquisition	\$3.250	\$18.300	\$18.300	\$18.808
Operations and Maintenance	\$88.331	\$15.780	\$14.702	\$13.481
Disposition Costs (Optional)	\$0.000	\$0.000	\$0.000	\$0.000
SUBTOTAL	\$91.581	\$34.080	\$33.002	\$32.289
Government FTE Costs	\$42.505	\$9.700	\$9.700	\$9.500
TOTAL	\$134.086	\$43.780	\$42.702	\$41.789

I.B.1.b. Summary of Spending for Project Phases (Government FTE Costs Only)

	PY-1 and earlier	PY 2009	CY 2010	BY 2011
Number of FTE represented by Costs	648	77	85	95

I.B.2. If the summary of funding has changed from the FY2010 President's budget request, briefly explain those changes:

Description: (Up to 2500 characters)

As indicated in the 2010 OMB 300, the Delphi/Financial Management Business Transformation (FMBT) project undertook a major initiative to map out and re-baseline the project over the past year. The updated funding tables reflect the results of the re-baseline activities that have been performed over the previous twelve months and now accurately reflect the size, scope and projected timelier of the Delphi/FMBT project. The overall goal of the project is to upgrade the current system architecture (hardware, software, reporting solution) in conjunction with standardizing the DOT's business processes across all operating administrations to conform to the OMB mandated Government-wide Accounting Code Structure (CGAC). During this project the DOT's core federal financial management system (currently called Delphi) will be upgraded from its current Oracle 11.5.10 platform to Oracle version 12i to utilize added technologies and increased Federal accounting features to maximize the agency's ability to accurately capture and report on all required data elements needed to satisfy current and future Federal and regulatory reporting requirements. Through increased efficiencies in the 12i software, and in conjunction with our agency wide standardization project, the new accounting system will better position the agency to meet current reporting and security requirements as well as allow DOT to be a leader in addressing all emerging requirements associated with the ARRA and various other directives focused on producing efficient, accurate, timely and transparent data. With new technology implemented around Business Intelligence (BI) the agency expects to reduce its current inventory of customized reports, data extracts, etc. and utilize the technology to greatly increase the number of standardized reports available to the end users. This will reduce our reliance on outside contractors to support the current Delphi reporting solution. The funding table also has been adjusted to more accurately reflect the current Delphi O&M/SS costs and indicates that for a period of at least 2 to 3 years the DOT expects to maintain both the Delphi (11.5.10) and new FMBT (12i) systems as all OA's and external customers are migrated from the Delphi system onto the new platform.

I.D. Performance Information (All Capital Assets)

I.D.1. Performance Information Table.

Description: In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan and the relevant Agency Segment Architecture. The investment must discuss its performance measures in support of the agency's mission and strategic goals as outlined in the corresponding Segment Architecture. Performance measures (indicators) must be provided. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as "significant," "better," "improved," that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at <http://www.whitehouse.gov/omb/e-gov/>. The table can be extended to include performance measures for years beyond the next President's Budget.

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator
2007	Organizational Excellence	Mission and Business Results	Accounting	Maintain Delphi System in Operational mode 98% of time
2008	Organizational Excellence	Mission and Business Results	Accounting	Maintain Delphi System in Operational mode 98% of time
2009	Organizational Excellence	Customer Results	Delivery Time	Average time to close all help

				desk trouble tickets closed in a calendar month.
2009	Organizational Excellence	Customer Results	Response Time	Average Time to Set Up a New Delphi User
2009	Organizational Excellence	Mission and Business Results	Accounting	Balances in suspense clearing accounts
2009	Organizational Excellence	Mission and Business Results	Accounting	Percentage of vendor payments made electronically
2009	Organizational Excellence	Mission and Business Results	Payments	Percentage of non-credit card invoices paid on time
2009	Organizational Excellence	Processes and Activities	Innovation and Improvement	Core System Availability
2009	Organizational Excellence	Processes and Activities	Efficiency	Total elapsed time to produce an FMS 224 and a related report from "click to run" to "ready to print"
2009	Organizational Excellence	Processes and Activities	Privacy	Average time to resolve moderate & high risk information security incidents & vulnerabilities
2009	Organizational Excellence	Technology	Standards Compliance and Deviations	The number of security scans of servers hosting the Delphi core production applications
2009	Organizational Excellence	Technology	Availability	IT Hosting Infrastructure Availability
2010	Organizational Excellence	Customer Results	Delivery Time	Average time to close all help desk trouble tickets closed in a calendar month.
2010	Organizational Excellence	Customer Results	Response Time	Average Time to Set Up a New Delphi User
2010	Organizational Excellence	Mission and Business Results	Accounting	Balances in suspense clearing accounts
2010	Organizational Excellence	Mission and Business Results	Accounting	Percentage of vendor payments made electronically
2010	Organizational Excellence	Mission and Business Results	Payments	Percentage of non-credit card invoices paid on time
2010	Organizational Excellence	Processes and Activities	Innovation and Improvement	Core System Availability
2010	Organizational Excellence	Processes and Activities	Efficiency	Total elapsed time to produce an FMS 224 and a related report from "click to run" to "ready to print"
2010	Organizational Excellence	Technology	Availability	IT Hosting Infrastructure Availability
2011	Organizational Excellence	Customer Results	Delivery Time	Average time to close all help desk trouble tickets closed in a calendar month.
2011	Organizational Excellence	Customer Results	Response Time	Average Time to Set Up a New Delphi User
2011	Organizational Excellence	Mission and Business Results	Accounting	Balances in suspense clearing accounts
2011	Organizational Excellence	Mission and Business Results	Accounting	Percentage of vendor payments made electronically
2011	Organizational Excellence	Mission and Business Results	Payments	Percentage of non-credit card invoices paid on time
2011	Organizational Excellence	Processes and Activities	Innovation and Improvement	Core System Availability
2011	Organizational Excellence	Processes and Activities	Efficiency	Total elapsed time to produce an FMS 224 and a related report from "click to run" to "ready to print"
2011	Organizational Excellence	Technology	Availability	IT Hosting Infrastructure Availability
2011	Organizational Excellence	Technology	Technology Improvement	Create 6 12i Development Instances
2011	Organizational Excellence	Technology	Technology Improvement	Conduct 2 Customer Design Solution Labs (all OA's)
2011	Organizational Excellence	Mission and Business Results	Information Security	Improve Disaster Recovery time
2011	Organizational Excellence	Mission and Business Results	Information Security	Improve Disaster Recovery data re-store availability.
2012	Organizational Excellence	Customer Results	Delivery Time	Average time to close all help desk trouble tickets closed in a calendar month.
2012	Organizational Excellence	Customer Results	Response Time	Average Time to Set Up a New Delphi User
2012	Organizational Excellence	Mission and Business Results	Accounting	Balances in suspense clearing accounts
2012	Organizational Excellence	Mission and Business Results	Accounting	Percentage of vendor payments made electronically

2012	Organizational Excellence	Mission and Business Results	Payments	Percentage of non-credit card invoices paid on time
2012	Organizational Excellence	Processes and Activities	Innovation and Improvement	Core System Availability
2012	Organizational Excellence	Technology	Availability	IT Hosting Infrastructure Availability
2012	Organizational Excellence	Technology	Technology Improvement	Conduct 2 Customer Design Solution Labs (all OA's)
2012	Organizational Excellence	Technology	Technology Improvement	Build UAT and Prod instances for 2 OA's (Group 1)
2012	Organizational Excellence	Customer Results	Customer Impact or Burden	Reduce Integration testing time by 10% for releases
2012	Organizational Excellence	Customer Results	Customer Impact or Burden	Reduce incident reports by 10%
2013	Organizational Excellence	Customer Results	Delivery Time	Average time to close all help desk trouble tickets closed in a calendar month.
2013	Organizational Excellence	Customer Results	Response Time	Average Time to Set Up a New Delphi User
2013	Organizational Excellence	Mission and Business Results	Accounting	Balances in suspense clearing accounts
2013	Organizational Excellence	Mission and Business Results	Accounting	Percentage of vendor payments made electronically
2013	Organizational Excellence	Mission and Business Results	Payments	Percentage of non-credit card invoices paid on time
2013	Organizational Excellence	Processes and Activities	Innovation and Improvement	Core System Availability
2013	Organizational Excellence	Processes and Activities	Efficiency	Total elapsed time to produce an FMS 224 and a related report from "click to run" to "ready to print"
2013	Organizational Excellence	Technology	Availability	IT Hosting Infrastructure Availability
2013	Organizational Excellence	Customer Results	Customer Impact or Burden	Reduce Integration testing time by 10% for releases
2013	Organizational Excellence	Customer Results	Customer Impact or Burden	Reduce incident reports by 10%
2013	Organizational Excellence	Technology	Technology Improvement	Implement Group 1 to 12i Oracle CGAC
2013	Organizational Excellence	Technology	Overall Costs	Reduce inventory of custom reports by 10%
2013	Organizational Excellence	Processes and Activities	Savings and Cost Avoidance	Reduce SCR's related to Transaction Code errors by 25%
2013	Organizational Excellence	Technology	Technology Improvement	Build UAT and Prod instances for group 2
2014	Organizational Excellence	Processes and Activities	Savings and Cost Avoidance	Reduce Integration testing time by 10% for releases
2014	Organizational Excellence	Processes and Activities	Savings and Cost Avoidance	Reduce inventory of custom reports by 10%
2014	Organizational Excellence	Customer Results	Customer Impact or Burden	Reduce incident reports by 10%
2014	Organizational Excellence	Technology	Technology Improvement	Implement Groups 2 to 12i Oracle CGAC
2014	Organizational Excellence	Technology	Technology Improvement	Build UAT instances for Group 3
2015	Organizational Excellence	Processes and Activities	Savings and Cost Avoidance	Reduce incident reports by 10%
2015	Organizational Excellence	Technology	Technology Improvement	Implement Group 3 to 12i Oracle CGAC
2015	Organizational Excellence	Processes and Activities	Savings and Cost Avoidance	Reduce inventory of custom reports by 10%
2015	Organizational Excellence	Processes and Activities	Savings and Cost Avoidance	Reduce SCR's related to Transaction Code errors by 25%

I.F. Enterprise Architecture (EA) (IT Capital Assets only)

Description: In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Have the requisite investment-level architecture documentation requirements (e.g., reference model mappings, FTF mappings, etc.) for this investment been documented in the corresponding Segment Architecture? For detailed guidance regarding segment architecture requirements, please refer to <http://www.whitehouse.gov/omb/e-gov/>. See this guidance also regarding the reporting of six digit codes corresponding to agency segment architectures in Exhibit 53, and, for limited cases determined by the Chief Architect, reporting an investment alignment with multiple segments.

I.F.1. Is this investment included in your agency's target enterprise architecture? yes

Part IV: Planning for "Multi-Agency Collaboration" ONLY

Description: Part IV should be completed only for investments identified as an E-Gov initiative, a Line of Business (LOB) Initiative, or a Multi-Agency Collaboration effort. The "Multi-Agency Collaboration" choice should be selected in response to Question 6 in Part I, Section A above. Investments identified as "Multi-Agency Collaboration" will complete only Parts I and IV of the exhibit 300.

IV.A. Multi-Agency Collaboration Oversight (All Capital Assets)

Description: Multi-agency Collaborations, such as E-Gov and LOB initiatives, should develop a joint exhibit 300.

IV.A.1. Stakeholder Table

Description: As a joint exhibit 300, please identify all the agency stakeholders (all participating agencies, this should not be limited to agencies with financial commitment). All agency stakeholders should be listed regardless of approval. If the partner agency has approved this joint exhibit 300 please provide the date of approval.

IV.A.5. Does this investment replace any legacy systems investments?

Description: Disposition costs (costs of retirement of legacy systems) may be included as a category in Part I, Section B, Summary of Funding, or in separate investments, classified as major or non-major. For legacy system investments being replaced by this investment, include the following data on these legacy investments.